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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/720,659				
Filing Date	November 24, 2003				
First Named Inventor	SIMONSON				
Art Unit	3738				
Examiner Name					
Attorney Docket Number	5976-23CIP				

1			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
n	i	US- 3,219,575	11/23/1965	Chapman et al.	
ni		US- 3,242,922	03/29/1966	Thomas	
		US- 3,565,066	02/23/1971	Roaf	
		US- 4,269,178	05/26/1981	Keene	
\neg		US- _{4,272,401}	06/09/1981	Mohan et al.	
1		US- 4,361,141	11/30/1982	Tanner	
		US- 4,369,769	01/25/1983	Edwards	
1		US- 4,369,770	01/25/1983	Bacal et al.	
		US- 4,382,438	05/10/1983	Jacobs	
		US- 4,386,603	06/07/1983	Mayfield	
		US- _{4,404,967}	09/20/1983	Bacal et al.	
		US- _{4,409,968}	10/18/1983	Drummond	
		US- 4,411,259	10/25/1983	Drummond	
		US- 4,419,026	12/06/1983	Leto	
		US- 4,422,451	12/27/1983	Kalamchi	
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1/	7	US- 4,662,365	05/05/1987	Gotzen et al.	

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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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	INI	EODMATIO!	M D	SCLOSURE	Filing Date	November 24, 2003	
					First Named Inventor	SIMONSON	
	SI			APPLICANT	Art Unit	3738	
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$\overline{\zeta}$	Sheet	2	of	5	Attorney Docket Number	5976-23CIP	

Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY		ame of Patentee or cant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
M		US- 4,773,402	09/27/1988	Asher et al.		
		US- _{4,836,196}	06/06/1989	Park et al.		
7		US- 4,854,304	08/08/1989	Zielke		
7	1	US- _{4,887,595}	12/19/1989	Heinig et al		
7		US- 4,887,596	12/19/1989	Sherman		
1		US- 4,946,458	08/07/1990	Harms et al.		
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		US- 5,133,717	07/28/1992	Chopin		
		US- 5,147,360	09/15/1992	Dubousset		
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) ·		US- _{5,209,752}	05/11/1993	Ashman et	al.	
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			FOREIGN PATE	NT DOCUM	ENTS	
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		FOREIGI	N PATENT DOCU	MENTS		
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Translation is attached.

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abla	Sheet	3	of	5	Attorney Docket Number	5976-23CIP		

			U. S. PATENT	DOCUMENTS	
Examiner · Initials*	Cite No.	Document Number Number-Kind Code ^{2 (If known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
1		US- 5,257,993	11/02/1993	Asher et al.	
1 -	 	US- _{5,261,909}	11/16/1993	Sutterlin et al.	
A		US- 5,282,801	02/01/1994	Sherman	
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a		US- 5,415,661	05/16/1995	Holmes	
0-		US- 5,437,669	08/01/1995	Yuan et al.	
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n		US- _{5,474,551}	12/12/1995	Finn et al.	
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N		US- _{5,611,800}	03/18/1997	Davis et al.	
ستام		US- _{5,672,175}	09/30/1997	Martin	
/_		US- 5.693.053	12/02/1997	Estes	Ì

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				Name of Patentee or	Pages, Columns, Lines, Where	
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1		US- _{5,716,357}	02/10/1998	Rogozinski		
a		US- _{4,743,260}	05/10/1988	Burton		
N	1	US- 5,800,435	09/01/1998	Errico et al.		
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7		US- _{5,891,145}	04/06/1999	Morrision et al.		
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11		US- 6,554,831 B1	04/29/2003	Rivard et al.		
1		US- 6,565,565 B1	05/20/2003	Yuan et al.		
11	<u> </u>	US- 6,579,319 B2	06/17/2003	Goble et al.		
1	<u> </u>	US- _{6,623,485 B2}	09/23/2003	Doubler et al.		
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	U. S. PATENT DOCUMENTS							
Examiner Initials*	Initials* No.1		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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STA	TEMENT	BY A	PPLICANT	First Named Inventor	SIMONSON	
	#1			Art Unit	3738	
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Sheet	1	of	1	Attorney Docket Number	5976-23CIP	

		NON PATENT LITERATURE DOCUMENTS	
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A		SPINE-HEALTH.COM, "Facet Technologies," http://www.spine-health.com/research/discupdate/artificial/artificial06.html	
1		ZIMMER SPINE, "DYNESYS, The Dynamic Stabilization System (U.S. only)," http://www.zimmerspine.com/spine/products/lumbar/dynesys/index.	
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